

Patent *1fcw*

Attorney Docket: 728256-100/244

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE THE APPLICATION OF:

MAGLICA, Anthony

Serial No.: 10/802,265

Filed: March 16, 2004

For: APPARATUS AND METHOD OF
ALIGNING A SUBSTANTIAL POINT
SOURCE OF LIGHT WITH A
REFLECTOR FEATURE

Group Art Unit: 2875

Examiner: Not Yet Assigned.

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached Form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in the IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C., 20231.

Express Mail Label No.

July 30, 2004
Date of Deposit
LAI-2118601v1

Trisha Dolman

Name of Person Mailing Paper

Trisha Dolman
Signature of Person Mailing Paper

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

☒ This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

☒ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider the IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

☐ However, if the undersigned is in error in this regard, Applicant respectfully request that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

☐ This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.

☐ The fee due under 37 CFR § 1.17(p) is submitted herewith.

☐ A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, the Applicant respectfully request that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.

STATEMENT UNDER 37 CFR § 1.97(e):

☐ Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

☐ No item contained in the IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in the IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:

☐

A check in the amount of _____ is enclosed for the above fees(s).

☐

Please charge _____ to Deposit Account No. **50-2468** for the above fee(s).

☒

The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Jones Day Deposit Account No. **50-2468**.

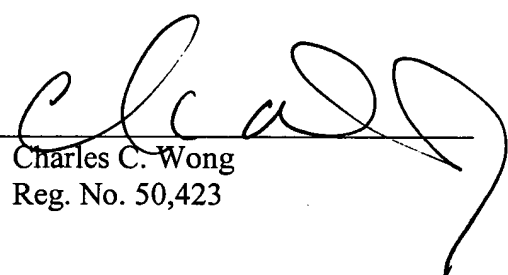
Respectfully submitted,

JONES DAY

Dated: July 30, 2004

555 West Fifth Street, Suite 4600
Los Angeles, California 90013-1025
(213) 243-2317

By: _____


Charles C. Wong
Reg. No. 50,423

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT**

AUG 03 2004

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	3,314,331	4/1967	Wiley	313	318.08	
	AB	3,469,140	9/1969	Bottone et al.	313	318.08	
	AC	3,622,832	11/1971	Schlessel et al.	313	315	
	AD	3,997,808	12/1976	Wojtowicz	313	315	
	AE	4,287,448	9/1981	Bradley	313	318.11	
	AF	4,464,600	8/1984	Kosmatka et al.	313	315	
	AG	5,260,858	11/1993	Maglica	362	205	
	AH	5,535,107	7/1996	Prok	362	158	
	AI	5,560,705	10/1996	Shiau	362	188	
	AJ	5,601,359	2/1997	Sharrah et al.	362	204	
	AK	5,826,971	10/1998	Kibler	362	203	
	AL	5,826,972	10/1998	Chiu	362	205	
	AM	5,865,525	2/1999	Kibler et al.	362	205	
	AN	5,959,306	9/1999	Kalley et al.	250	504 R	
	AO	6,074,072	6/2000	Parsons et al.	362	202	
	AP	6,183,106	2/2001	Thummel et al.	362	208	
	AQ	6,347,878	2/2002	Shiao	362	157	
	AR	1,584,539	5/1926	Hopkins	362	188	
	AS	1,603,272	10/1926	Eaton			
	AT	1,608,195	11/1926	Barany			
	AU	1,638,716	8/1927	Surles			
	AV	1,644,126	10/1927	Harris			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 728256-100/244	SERIAL NO. 10/802,265
	APPLICANT: Anthony MAGLICA	
	FILING DATE: March 16, 2004	GROUP: Not Yet Assigned

	AW	1,674,650	11/1926	Leser			
	AX	1,680,169	3/1928	Osean	362	188	
	AY	1,758,835	5/1930	Hime			
	AZ	1,851,503	3/1932	Flamm	240		
	BA	2,016,819	10/1935	Meginniss	362	188	
	BB	2,097,222	10/1937	Tompkins	362	187	
	BC	2,173,650	9/1939	Fullmer	240	10.69	
	BC	2,176,301	10/1939	Haas	123	187	
	BD	2,212,103	8/1940	Rothenberg et al.	240	10.69	
	BE	2,272,907	2/1942	Deibel	240	10.61	
	BF	2,339,356	1/1944	Sachs	362	187	
	BG	2,490,830	2/1949	Norton	362	184	
	BH	2,530,913	11/1950	Shackel	123	187	
	BI	2,599,295	6/1952	Thomas	362	205	
	BJ	2,915,621	12/1959	Garland	362	205	
	BK	2,931,005	3/1960	Saurwein	362	205	
	BL	2,945,944	7/1960	Gillespie	362	188	
	BM	3,104,125	12/1961	Draudt	240	10.68	
	BN	3,076,891	2/1963	Moore	362	202	
	BO	3,652,846	3/1972	Starck, II	240	10.63	
	BP	4,114,187	9/1978	Uke	362	158	
	BQ	4,151,583	4/1979	Miller	362	207	
	BR	4,156,271	5/1979	Vercellotti	362	202	
	BS	4,171,534	10/1979	Strowe	362	183	

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	BT	4,203,150	5/1980	Shamlan	362	183	
	BU	4,234,913	11/1980	Ramme	362	158	
	BV	4,261,026	4/1981	Bolha	362	101	
	BW	4,286,311	8/1981	Maglica	362	205	
	BX	4,329,740	5/1982	Colvin	362	184	
	BY	4,388,673	6/1983	Maglica	362	205	
	BZ	4,398,232	8/1983	Elmore	362	187	
	CA	4,398,238	8/1983	Nelson	362	184	
	CB	4,429,351	1/1984	Petzl	362	187	
	CC	4,472,766	9/1984	Hung	362	205	
	CD	4,495,551	1/1985	Foltz	362	205	
	CE	4,527,223	7/1985	Maglica	362	207	
	CF	4,531,178	7/1985	Uke	362	202	
	CG	4,570,208	2/1986	Sassmannshausen	362	188	
	CH	4,577,263	3/1986	Maglica	362	187	
	CI	4,581,686	4/1986	Nelson	362	204	
	CJ	4,644,220	2/1987	Carley	313	318	
	CK	4,656,565	4/1987	Maglica	362	187	
	CL	4,658,336	4/1987	Maglica	362	187	
	CM	4,695,551	9/1987	Samhaber et al.	435	292	
	CN	4,725,932	2/1988	Gammache	362	202	
	CO	4,733,337	3/1988	Bieberstein	362	206	
	CP	4,777,582	10/1985	Sharrah	362	202	
	CQ	4,819,141	4/1989	Maglica et al.	362	207	

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	CR	4,841,417	6/1989	Maglica et al.	362	206	
	CS	4,843,526	6/1989	Price, III	362	187	
	CT	4,864,474	9/1989	Maglica	362	203	
	CU	4,888,670	12/1989	Sharrah	362	205	
	CV	4,899,265	2/1990	Maglica	362	203	
	CW	4,942,505	7/1990	Maglica	362	203	
	CX	4,951,183	8/1990	Wang	362	187	
	CY	4,967,325	10/1990	Shiau	362	188	
	CZ	5,003,440	3/1991	Maglica	362	158	
	DA	5,113,326	5/1992	Maglica	362	158	
	DB	5,121,308	06/09/92	Maglica et al.	362	187	
	DC	5,126,972	6/1992	Reeves et al.	362	187	
	DD	5,138,537	8/1992	Wang	362	187	
	DE	5,158,358	10/1992	Maglica et al.	362	206	
	DF	5,207,502	5/1993	Maglica	362	158	
	DG	5,293,307	3/1994	Maglica	362	203	
	DH	5,349,506	9/1994	Maglica	362	158	
	DI	5,593,222	01/14/97	Maglica	362	157	
	DJ	5,806,964	9/1998	Maglica	326	203	
	DK	6,170,960	1/2001	Maglica	362	205	
	DL	6,193,389	2/2001	Maglica	362	207	
	DM	6,722,772	4/2004	Maglica	362	197	

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FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES	TRANSLATION NO
	DM	114,558	1/1942	Australis				
	DN	138873	4/1948	Australia				
	DO	2372382	11/1976	France Pub. of Patent Application Nol 7636421 and Translation			X	
	DP	411218	6/1934	Great Britain				
	DQ	549104	11/1942	Great Britain				
	DR	752619	7/1956	Great Britain				
	DS	812980	5/1959	Great Britain				
	DT	292,836	6/1928	Great Britain				
	DU	2,107,038	4/1983	UK				
	DV	5-14620	11/1930	Japanese Utility Model Pub. & Partial English Translation			X	
	DW	14-19704	12/1939	Japanese Utility Model Pub. & Partial English Translation			X	
	DX	WO 93/16323	9/1993	PCT/US93/01035				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		

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